

# Baryon Spectroscopy and the Origin of Mass

E. Klempt

Helmholtz-Institut für Strahlen- und Kernphysik  
Universität Bonn  
Nußallee 14-16, D-53115 Bonn, GERMANY  
e-mail: [klempt@hiskp.uni-bonn.de](mailto:klempt@hiskp.uni-bonn.de)

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# Topics

## 1. Why Baryon Spectroscopy?

## 2. Baryon Resonance Spectrum: Status

How many N and Δ resonances do we know?

$\Delta_{3/2}^+(1600)$  from  $\pi^+ p \rightarrow \Sigma^+ K^+$

$N_{3/2}^+(1900)$  from  $\gamma p \rightarrow \Lambda K^+$ ,  $\gamma p \rightarrow \Sigma^0 K^+$ , and  $\gamma p \rightarrow \Sigma^+ K^0$

$\Delta_{3/2}^+(1920)$  and  $\Delta_{3/2}^-(1940)$  from  $\gamma p \rightarrow p \pi^0 \eta$

## 3. Baryon Resonance Spectrum: Interpretation

Quark models

AdS/QCD

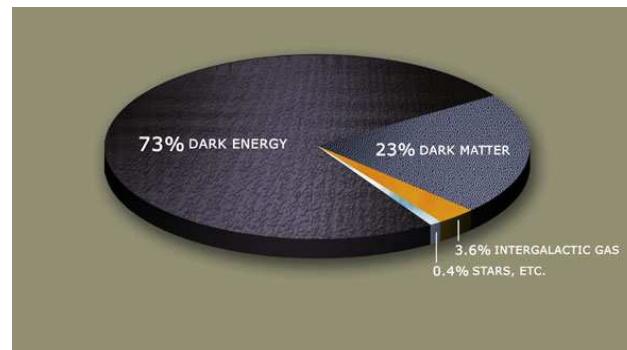
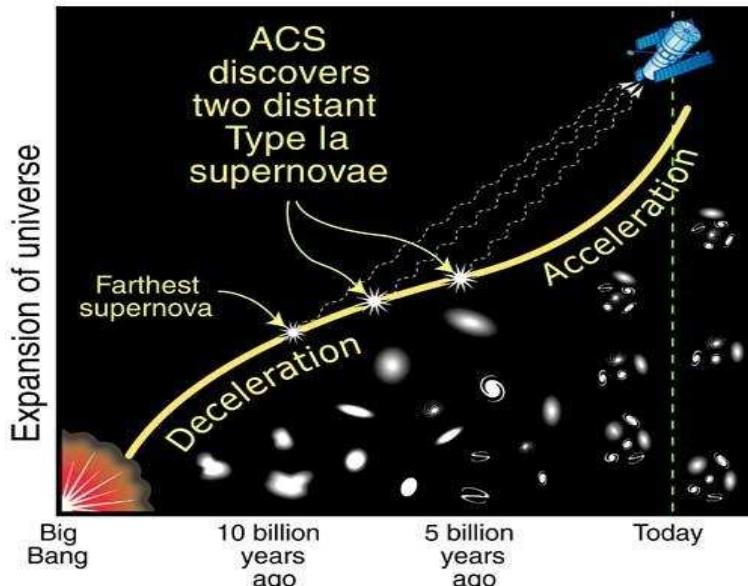
Dynamical generation of resonances

Chiral symmetry restoration

## 4. Baryon Resonance Spectrum: Perspectives

## 5. Summary

# Why Baryon Spectroscopy?

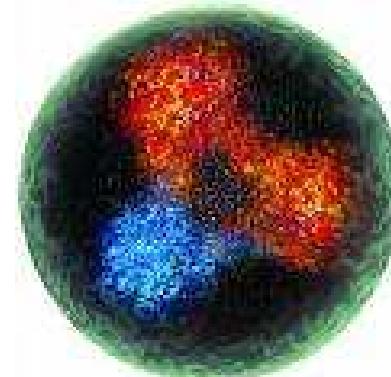


- **Mass of the Universe:**

Dark energy	73%
Dark matter	23%
Intergalactic gas	3.6%
Stars	0.4% } atoms

## Mass of atoms

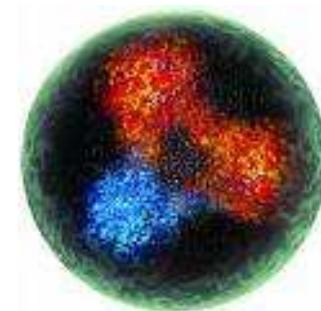
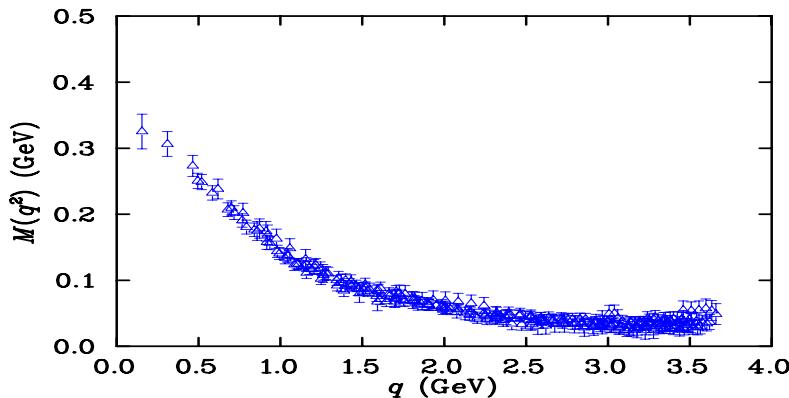
Mass of quarks	1%
Mass of electrons	0.1%
Field energy	99%



What are ↑ these objects?

- What are constituent quarks?

(-) From lattice QCD:



P. O. Bowman et al.,  
Phys. Rev. D 71, 054507 (2005).

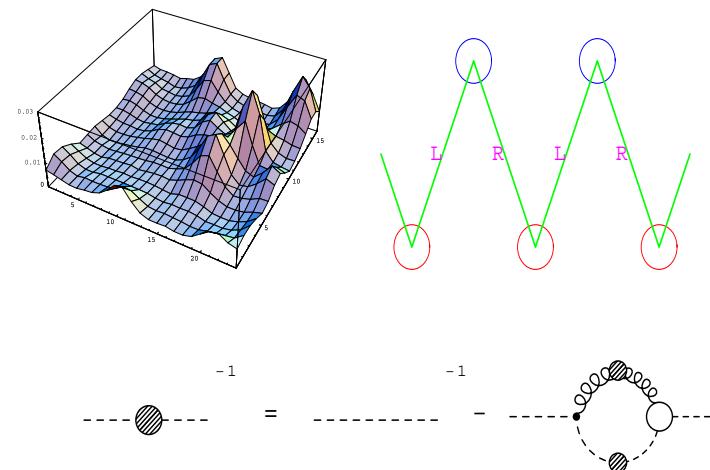
(-) By expelling the chiral condensate:

A. Chodos, R. L. Jaffe,  
K. Johnson and C. B. Thorn,  
Phys. Rev. D 10, 2599 (1974).

$$\langle \bar{\psi} \psi \rangle = -(0.23)^3 \text{ GeV}^3$$

(-) From instantons:

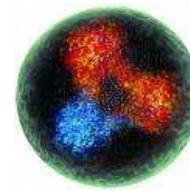
D. Diakonov and V. Y. Petrov,  
Sov. Phys. JETP 62, 204 (1985)  
[Zh. Eksp. Teor. Fiz. 89, 361 (1985)].



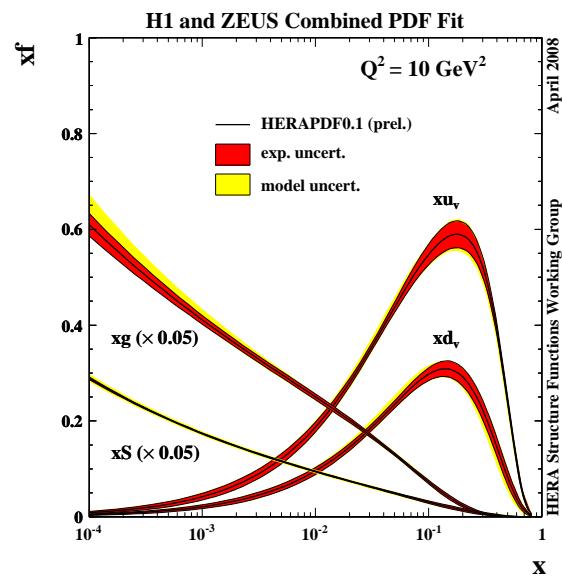
(-) Gluon propagator from  
Dyson-Schwinger equation:

M. S. Bhagwat, M. A. Pichowsky, C. D.  
Roberts and P. C. Tandy,  
Phys. Rev. C 68, 015203 (2003).

# How to explore constituent quarks?



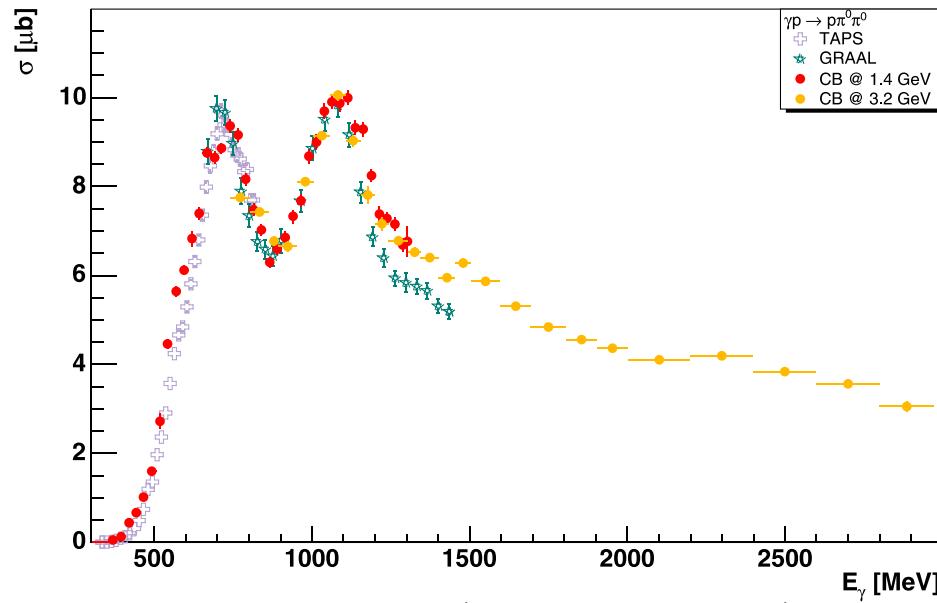
## Nucleon tomography:



**Goal: Distribution of linear and angular momenta of gluons and quarks in space**

**Collectivity is lost!**

## Nucleon spectroscopy:



M. Fuchs et al. (CB-ELSA collab.)  
in preparation

**Wave length matches the size of constituents**

**Explores collective response!**

# Baryon Resonance Spectrum: Status

(PDG, mostly from Höhler and Cutkovsky)

Resonance	Mass	Resonance	Mass	Resonance	Mass
$N(940)$	940	$\Delta(1232)$	$1232 \pm 1$	$N_{1/2^+}(1440)$	$1450 \pm 32$
$N_{1/2^-}(1535)$	$1538 \pm 10$	$N_{3/2^-}(1520)$	$1522 \pm 4$	$N_{1/2^-}(1650)$	$1660 \pm 18$
$N_{3/2^-}(1700)$	$1725 \pm 50$	$N_{5/2^-}(1675)$	$1675 \pm 5$	$\Delta_{1/2^-}(1620)$	$1626 \pm 23$
$\Delta_{3/2^-}(1700)$	$1720 \pm 50$	$\Delta_{3/2^+}(1600)$	$1615 \pm 80$	$N_{3/2^+}(1720)$	$1730 \pm 30$
$N_{5/2^+}(1680)$	$1683 \pm 3$	$N_{1/2^+}(1710)$	$1713 \pm 12$	$\Delta_{1/2^+}(1750)$	
$N_{1/2^-}(1905)$	$1905 \pm 50$	$N_{3/2^-}(1860)$	$1850 \pm 40$	$N_{1/2^+}(1880)^a$	
$N_{3/2^+}(1900)^a$		$N_{5/2^+}(1910)$	$1880 \pm 40$	$N_{7/2^+}(1990)$	$2020 \pm 60$
$\Delta_{1/2^-}(1900)$	$1910 \pm 50$	$\Delta_{3/2^-}(1940)$	$1995 \pm 60$	$\Delta_{5/2^-}(1930)$	$1930 \pm 30$
$\Delta_{1/2^+}(1910)$	$1935 \pm 90$	$\Delta_{3/2^+}(1920)$	$1950 \pm 70$	$\Delta_{5/2^+}(1905)$	$1885 \pm 25$
$\Delta_{7/2^+}(1950)$	$1930 \pm 16$	$N_{1/2^+}(2100)$	$2090 \pm 100$	$N_{1/2^-}(2090)$	
$N_{3/2^-}(2080)$	$2100 \pm 55$	$N_{5/2^-}(2060)^a$	$2065 \pm 25$	$N_{7/2^-}(2190)$	$2150 \pm 30$
$N_{5/2^-}(2200)$	$2160 \pm 85$	$N_{9/2^-}(2250)$	$2255 \pm 55$	$\Delta_{1/2^-}(2150)$	
$\Delta_{5/2^-}(2223)^b$		$\Delta_{7/2^-}(2200)$	$2230 \pm 50$	$N_{9/2^+}(2220)$	$2360 \pm 125$
$\Delta_{7/2^+}(2390)$	$2390 \pm 100$	$\Delta_{9/2^+}(2300)$	$2360 \pm 125$	$\Delta_{11/2^+}(2420)$	$2462 \pm 120$
$\Delta_{9/2^-}(2400)$	$2400 \pm 190$	$\Delta_{3/2^-}(2350)$	$2310 \pm 85$	$N_{11/2^-}(2600)$	$2630 \pm 120$
$N_{13/2^+}(2800)$	$2800 \pm 160$	$\Delta_{13/2^-}(2750)$	$2720 \pm 100$	$\Delta_{15/2^+}(2950)$	$2920 \pm 100$

$N_{1/2^-}(1535)$  used instead of  $N(1535)S_{11}$

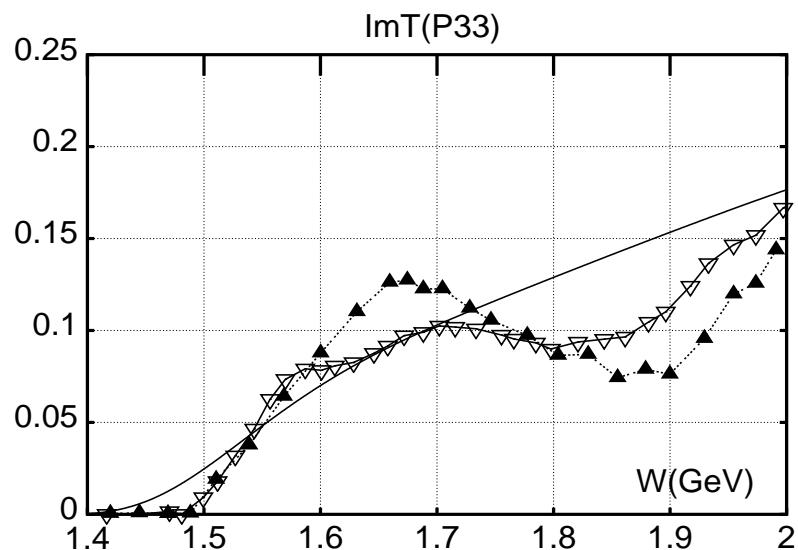
<sup>a</sup>: BnGa; <sup>b</sup>: GWU

## SAID spectrum based on increased data base

Resonance	Mass	Resonance	Mass	Resonance	Mass
$N(940)$	<b>940</b>	$\Delta(1232)$	<b><math>1232 \pm 1</math></b>	$N_{1/2^+}(1440)$	<b><math>1450 \pm 32</math></b>
$N_{1/2^-}(1535)$	<b><math>1538 \pm 10</math></b>	$N_{3/2^-}(1520)$	<b><math>1522 \pm 4</math></b>	$N_{1/2^-}(1650)$	<b><math>1660 \pm 18</math></b>
$N_{3/2^-}(1700)$	<b><math>1725 \pm 50</math></b>	$N_{5/2^-}(1675)$	<b><math>1675 \pm 5</math></b>	$\Delta_{1/2^-}(1620)$	<b><math>1626 \pm 23</math></b>
$\Delta_{3/2^-}(1700)$	<b><math>1720 \pm 50</math></b>			$N_{3/2^+}(1720)$	<b><math>1730 \pm 30</math></b>
$N_{5/2^+}(1680)$	<b><math>1683 \pm 3</math></b>				
				$\Delta_{5/2^-}(1930)$	<b><math>1930 \pm 30</math></b>
$\Delta_{1/2^+}(1910)$	<b><math>1935 \pm 90</math></b>			$\Delta_{5/2^+}(1905)$	<b><math>1885 \pm 25</math></b>
$\Delta_{7/2^+}(1950)$	<b><math>1930 \pm 16</math></b>				
				$\Delta_{5/2^-}(2223)^b$	<b><math>2230 \pm 50</math></b>
		$\Delta_{7/2^-}(2200)$	<b><math>2230 \pm 50</math></b>	$N_{9/2^+}(2220)$	<b><math>2360 \pm 125</math></b>
$\Delta_{9/2^-}(2400)$	<b><math>2400 \pm 190</math></b>			$\Delta_{11/2^+}(2420)$	<b><math>2462 \pm 120</math></b>
				$N_{11/2^-}(2600)$	<b><math>2630 \pm 120</math></b>

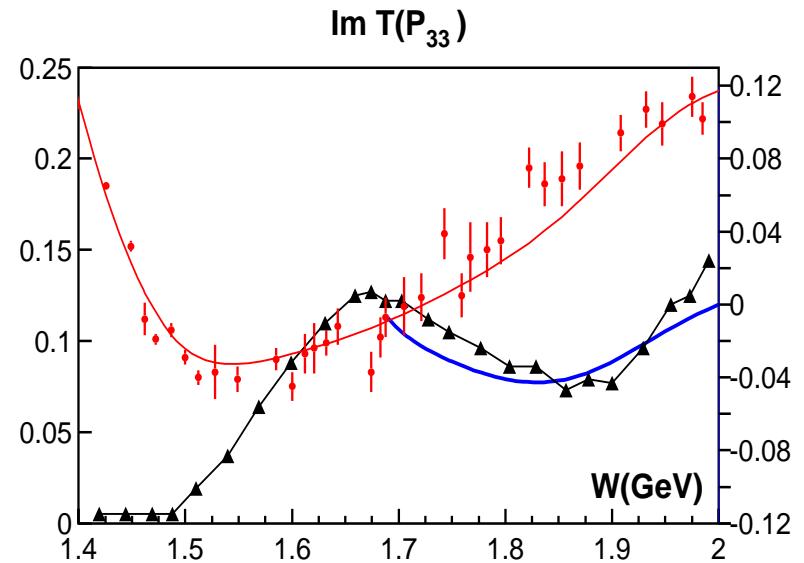
**50% of all resonances have disappeared !**

- First example: The  $\Delta_{3/2^+}(1600)$  from  $\pi^+ p \rightarrow \Sigma^+ K^+$  BnGa
- Amplitude from elastic scattering      Amplitude from inelastic scattering



Pick up of noise  
by CM and KH?

Resonances lost due  
to smoothing (GWU)?

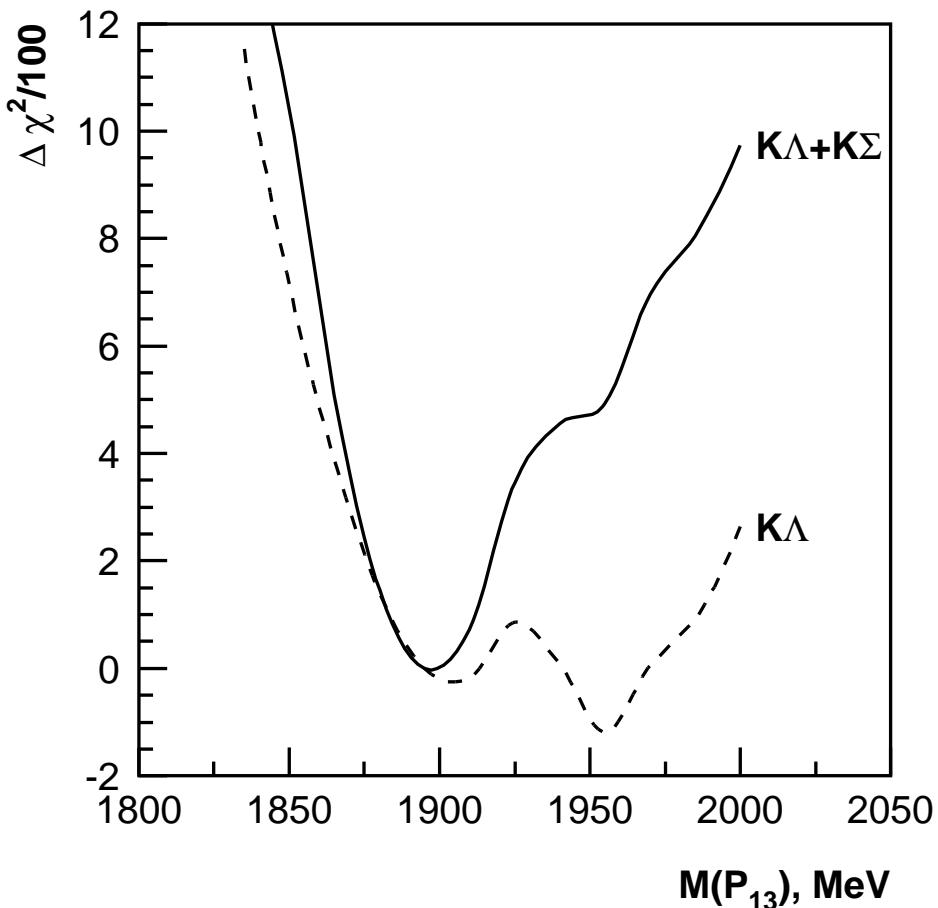


$\Delta_{3/2^+}(1600)$  confirmed!

( $M = 1640 \pm 50$ ,  $\Gamma = 480 \pm 100$  MeV)

BnGa: See talks by A. Anisovich (8A), A. Sarantsev (9)

- Second example: The  $N_{3/2^+}(1900)$  from  $\gamma p \rightarrow \Lambda K^+$  and  $\gamma p \rightarrow \Sigma K^+$   
BnGa



Excellent data base with

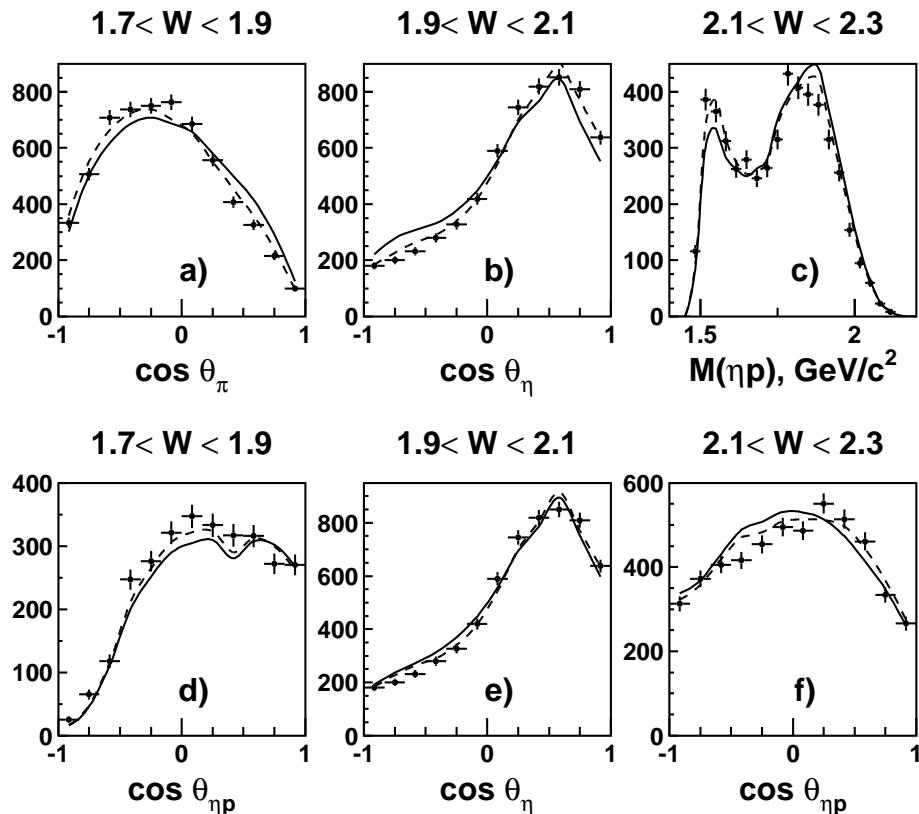
- + high-statistics angular distributions,
- + several single ( $\Sigma$ , T and P) and
- + double polarization observables ( $C_x, C_z, O_x, O_z$ ).
- + Large data base included in the fits.
- No full reconstruction of partial wave amplitude.
- $\chi^2$  minimization.

**$N_{3/2^+}(1900)$  confirmed!**

( $M = 1915 \pm 60$ ,  $\Gamma = 180 \pm 40$  MeV)

- Third example:  $\Delta_{3/2^+}(1920)$  and  $\Delta_{3/2^-}(1940)$  from  $\gamma p \rightarrow p\pi^0\eta$   
BnGa

Fits with/without  
 $\Delta_{3/2^+}(1920)$  or  $\Delta_{3/2^-}(1940)$



$\Delta_{3/2^+}(1920)$  and  $\Delta_{3/2^-}(1940)$   
confirmed!

( $M = 1950 \pm 50$ ,  $\Gamma = 330 \pm 50$  MeV)

( $M = 1995 \pm 40$ ,  $\Gamma = 360 \pm 50$  MeV)

### Conclusions:

At the moment, we should not abandon all the resonances seen by Höhler and Cutkovsky.

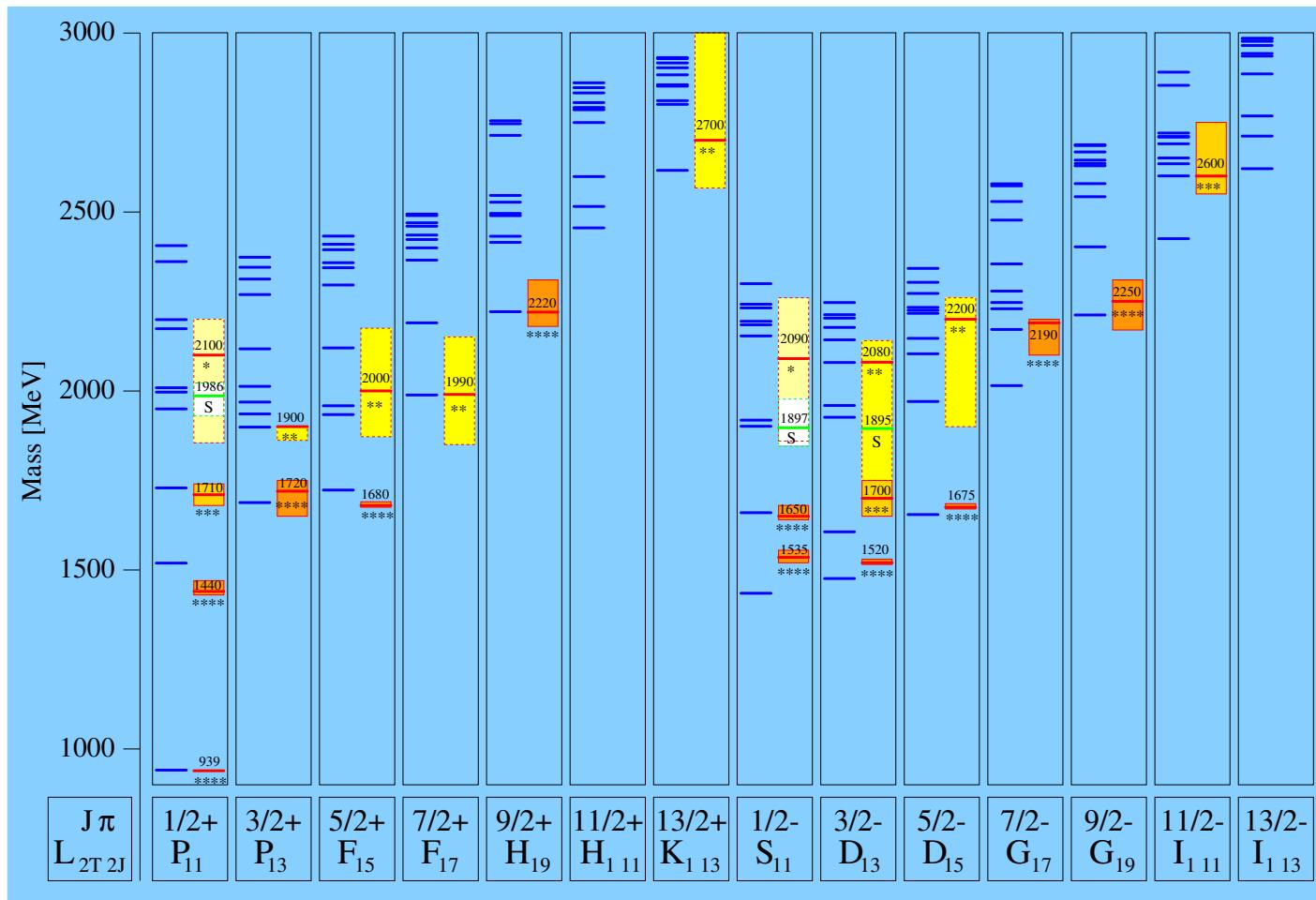
Photoproduction begins to make a significant impact on baryon spectroscopy.

# The Baryon Resonance Spectrum: Interpretation

- Quark models:

Ingredients are:

- (-) constituent quarks with defined rest masses,
- (-) confinement potential,
- (-) some residual interaction  
(effective one-gluon exchange, instantons).

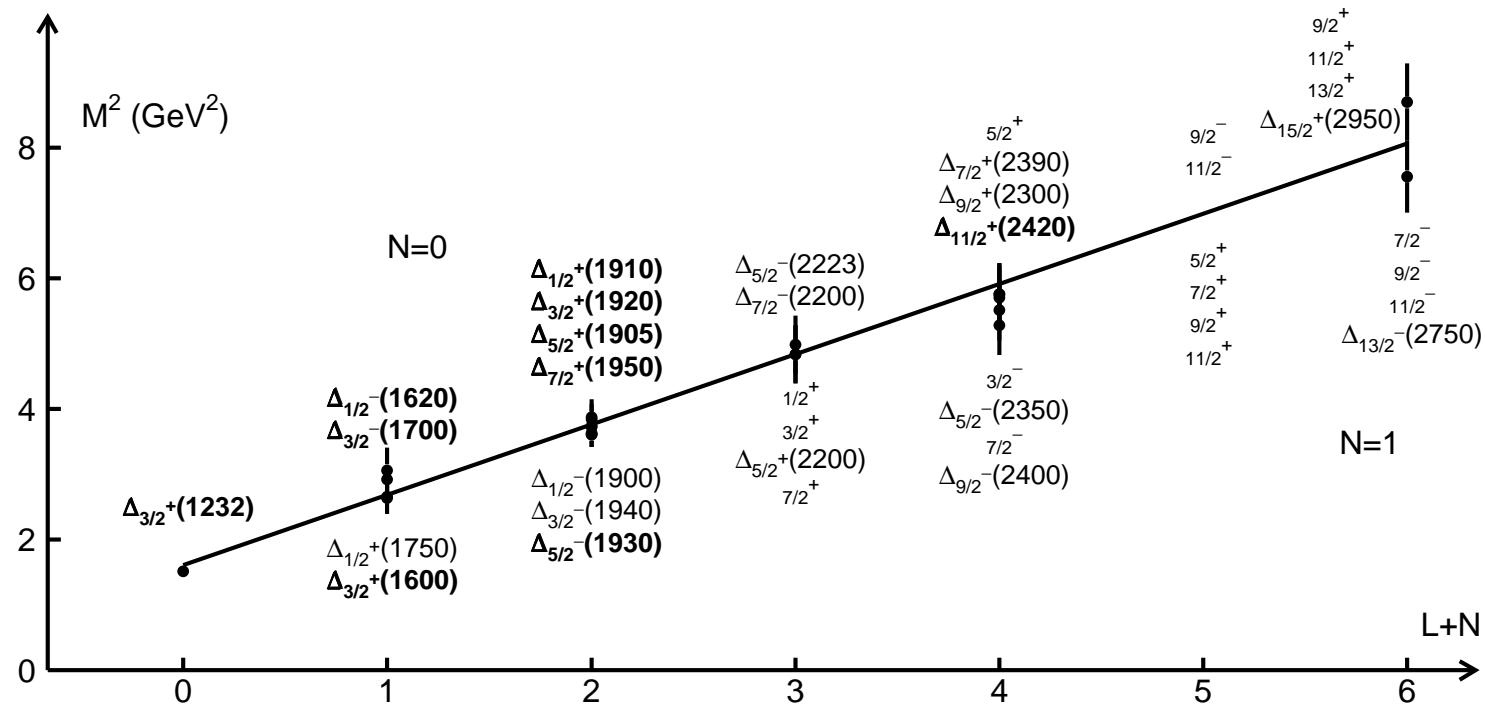


- AdS/QCD:

Analytically solvable model  
of QCD with constant  $\alpha_s$ .  
which contains only one parameter, “size”.

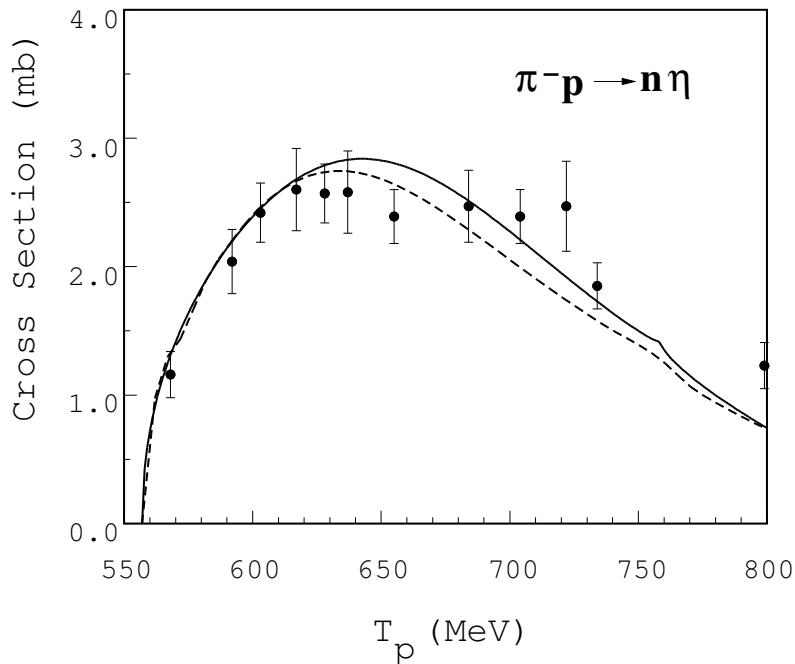
$$M^2 = a \cdot (L + N + 3/2) - b \cdot \alpha_D \quad [\text{GeV}^2]$$

$$a = 1.04 \text{ GeV}^2 \text{ and } b = 1.46 \text{ GeV}^2.$$



- **Dynamical generation of baryon resonances:**

At low energies the building blocks of hadron resonances could be the ground state mesons and baryons. Resonance properties are derived from chiral Lagrangians.  $N_{1/2^-}(1535)$ , e.g., is a quasi-bound  $\Lambda K - \Sigma K$  state.



**N. Kaiser, P. B. Siegel and W. Weise,  
Phys. Lett. B 362, 23 (1995).**

Are dynamically generated states additional states, atop of quark model states?

Or are quark model and dynamically generated resonance dual descriptions?

Examples:

$N_{1/2^-}(1535), \Lambda_{1/2^-}(1405)$   
 $a_0(980), f_0(980), D_s(2317), X(3872),$   
 $\sigma(470), \kappa(700)$

Are these states additional to the quark model?

- Excursion to heavy baryon resonances:

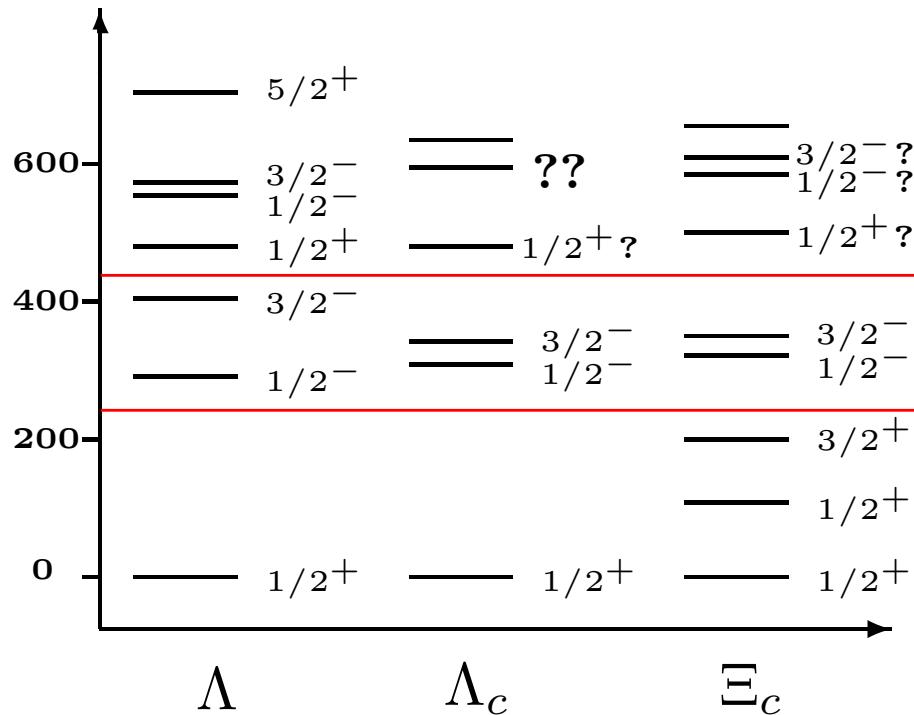
Masses (in MeV) of heavy baryons.

The isospin of  $\Lambda_c^+/\Sigma_c^+(2765)$  (faint) is unknown.

$\Lambda_c^+$	$2286.5 \pm 0.2$	$2595.4 \pm 0.6$	$2628.1 \pm 0.6$	$2766.6 \pm 2.4$	$2881.5 \pm 0.4$
$\Sigma_c^{++}$	$2454.0 \pm 0.2$	$2518.4 \pm 0.6$	$2801_{-6}^{+4}$	$\Lambda_c^+ :$	$2939.3 \pm 1.4$
$\Sigma_c^+$	$2452.9 \pm 0.4$	$2517.5 \pm 2.3$	$2792_{-5}^{+14}$	$2766.6 \pm 2.4$	
$\Sigma_c^+$	$2453.8 \pm 0.2$	$2518.0 \pm 0.5$	$2802_{-7}^{+4}$		
$\Xi_c^+$	$2467.9 \pm 0.4$	$2575.7 \pm 3.1$	$2646.6 \pm 1.4$	$2789.2 \pm 3.2$	$2816.5 \pm 1.2$
		$2969.3 \pm 2.8$	$3054.2 \pm 1.3$	$3077.0 \pm 0.5$	$3122.9 \pm 1.3$
$\Xi_c^0$	$2471.0 \pm 0.4$	$2578.0 \pm 2.9$	$2646.1 \pm 1.2$	$2791.9 \pm 3.3$	$2818.2 \pm 2.1$
		$2972.9 \pm 4.7$		$3079.3 \pm 1.1$	
$\Omega_c^0$	$2697.5 \pm 2.6$	$2768.3 \pm 3.0$		$\Xi_{cc}^+ :$	$3518.9 \pm 0.9$
$\Lambda_b^0$	$5620.2 \pm 1.6$				
$\Sigma_b^+$	$5807.8 \pm 2.7$	$5829.0 \pm 3.4$	$\Sigma_b^- :$	$5815.2 \pm 2.0$	$5836.4 \pm 2.8$
$\Xi_b^-$	$5793.8 \pm 3.8$		$\Omega_b^- :$	$6165 \pm 17$ or $6054.4 \pm 6.8$	

$\Xi_b^- = (\text{bsd}) \Rightarrow \text{three generations!}$

Only very few measured spin-parities!



The lowest-mass  $\Lambda$ ,  $\Lambda_c$ , and  $\Xi_c$  negative-parity states have fully antisymmetric spin-flavor wave functions. In the  $\Lambda$  spectrum, the Roper-like state is above the two singlet states, then the two negative-parity octet (mixed symmetry) states follow. The  $\Lambda_c$  and  $\Xi_c$  exhibit the same pattern but spin-parities are not known.

Wohl in PDG: *The clean  $\Lambda_c$  spectrum has in fact been taken to settle the decades-long discussion about the nature of the  $\Lambda(1405)$  - true 3-quark state or  $\bar{K}p$  threshold effect? - unambiguously in favor of the first interpretation.*

**Heavy-quark and light-quark spectroscopy benefit from each other!**

## Restoration of chiral symmetry:

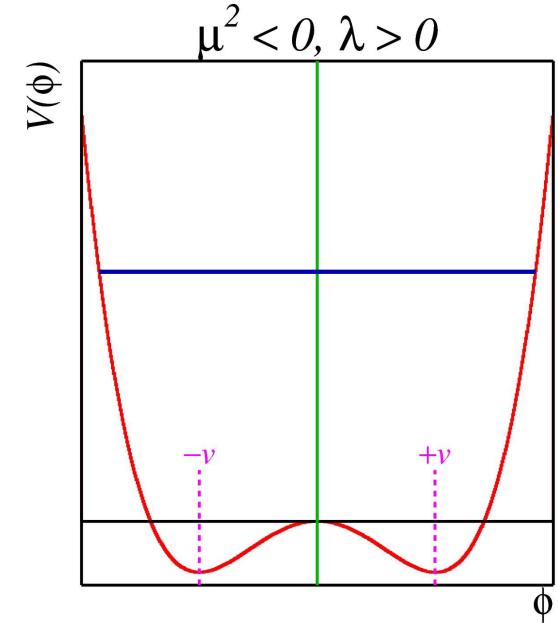
Chiral multiplets for  $J = 1/2, 3/2, 5/2$  (first three lines) and for  $J = 1/2, \dots, 7/2$  (last four lines) for nucleon and  $\Delta$  resonances.

$N_{1/2}^+(1710)$	$N_{1/2}^-(1650)$	$\Delta_{1/2}^+(1750)$	$\Delta_{1/2}^-(1620)$
$N_{3/2}^+(1720)$	$N_{3/2}^-(1700)$	$\Delta_{3/2}^+(1600)$	$\Delta_{3/2}^-(1700)$
$N_{5/2}^+(1680)$	$N_{5/2}^-(1675)$	no chiral partners	
$N_{1/2}^+(1880)$	$N_{1/2}^-(1905)$	$\Delta_{1/2}^+(1910)$	$\Delta_{1/2}^-(1900)$
$N_{3/2}^+(1900)$	$N_{3/2}^-(1860)$	$\Delta_{3/2}^+(1920)$	$\Delta_{3/2}^-(1940)$
no chiral partners		$\Delta_{5/2}^+(1905)$	$\Delta_{5/2}^-(1930)$
		****	***
$N_{7/2}^+(1990)^a$	$N_{7/2}^-(2190)$	$\Delta_{7/2}^+(1950)$	$\Delta_{7/2}^-(2200)$
$N_{9/2}^+(2220)$	$N_{9/2}^-(2250)$	$\Delta_{9/2}^+(2300)$	$\Delta_{9/2}^-(2400)$

Limited predictive power.

Mass of ground-state baryons due to spontaneous breaking of chiral symmetry. Thus,  $N_{1/2^-}(1535)$  is much heavier than its chiral partner,  $N_{1/2^+}(940)$ .

At high excitation energies, details of the chiral potential could be irrelevant. Chiral symmetry could be restored. Then: chiral multiplets should occur.



## Comparison model versus data:

- Quark model with eff. one-gluon exchange:  $(\delta M/M) = 5.6\% \text{ (7p)}$   
S. Capstick and N. Isgur, “Baryons In A Relativized Quark Model With Chromodynamics,” Phys. Rev. D 34, 2809 (1986).
- Quark model with instanton induced forces:  $(\delta M/M) = 5.1\% \text{ (5p)}$   
U. Loring, B.C. Metsch and H.R. Petry, “The light baryon spectrum in a relativ. quark model with instanton-induced quark forces,” Eur. Phys. J. A 10, 395, 447 (2001).
- AdS/QCD model with “good diquarks”:  $(\delta M/M) = 2.5\% \text{ (2p)}$   
H. Forkel and E. Klempt, “Diquark correlations in baryon spectroscopy and holographic QCD,” Phys. Lett. B 679, 77 (2009). ”
- Skyrme model:  $(\delta M/M) = 9.1\% \text{ (2p)}$   
M. P. Mattis and M. Karliner, “The Baryon Spectrum Of The Skyrme Model,” Phys. Rev. D 31, 2833 (1985).

The 2-parameter AdS/QCD mass formula gives the best description of the data:

Masses are well reproduced

Parity doublets are predicted where they are observed (and only there)

Abundance of states seems realistic

Both parameters are related to the size of baryons:

a gives the increase of the size of baryons with  $L$  and  $N$

b suggests a shrinkage of the size of “good diquarks”.

These observations suggest that the origin of the masses of excited baryons is - as in the ground states - spontaneous breaking of chiral symmetry. In bag model language: in resonances, the chiral condensates are expelled out of a larger region in space, and this is the reason for the increase in mass.

**It ain't necessarily be so!**

# The Baryon Resonance Spectrum: Perspectives

1. Baryons provide an excellent tool to study strong QCD.  
Fundamental questions are at stake: is chiral symmetry breaking - responsible for the mass of ground state baryons - responsible as well for the mass of excited states? Why is AdS/QCD so successful in reproducing the mass spectrum?
2. There is an ongoing ambitious program: photoproduction of baryon resonances.  

High-statistics photoproduction experiments with polarized photons and targets (CLAS, ELSA, MAMI-C, SPring-8)

Multi-channel partial wave analyses (BnGa, Ebac, Jülich, MAID, SAID, among others).

The existence and the properties of a few states are decisive for different scenarios like quark models, the role of dynamically generated resonances, gravitational theories, and the conjecture that chiral symmetry may be restored in high-mass excitations.
3. A couple of key questions should be answered:
  - Is the quark model a valid approximation up to the second shell?

The quark model predicts a doublet of positive-parity states -  $N_{1/2+}$  and  $N_{3/2+}$  - with  $L = 1, S = 1/2$ . These states have both oscillators excited; one should expect them to decay in a cascade. Based on the mass formula, I expect these states at  $\approx 1780$  MeV and to be found in the reaction chain  $\gamma p \rightarrow N_{1/2-}(1535)\pi \rightarrow N\pi\eta$  and  $\gamma p \rightarrow N_{3/2-}(1520)\pi \rightarrow N\pi\pi$ , respectively.

- Are dynamically generated resonances additional resonances atop of quark model states or are these dual views onto the same objects?

Decide if  $\Lambda_{1/2-}(1405)$  split is really into two states, one mainly singlet, one mainly octet. Best experimental chance in  $J/\psi \rightarrow \Lambda_{1/2-}(1405)\bar{\Lambda}_{1/2-}(1405)$ . Explore link to heavy baryon spectroscopy.

- Is chiral symmetry restored in high-excitation states?  
What is the mass of  $\Delta_{7/2-}$ ?

[a] About 1950 MeV;  
then  $\Delta_{7/2-}$  forms a chiral doublet with  $\Delta_{7/2+}(1950)$  and supports chiral symmetry restoration.

[b] About 2200 MeV;  
then it supports quark models and AdS/QCD.

## **Summary**

**Baryon spectroscopy may reveal fundamental aspects of strong QCD.**

**Highly sensitive data have been taken and are being taken boosting the data base for excited baryon analyses.**

**Methods have been developed suited to raise the treasure hidden in the data.**

**We may expect a breakthrough in baryon spectroscopy in the forthcoming years.**